

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Patent Application of:

Inventor(s): Leo Mark Pedlow, Jr

Filed: Herewith

Serial No.: Unknown

Confirmation No.: Unknown

Art Unit: Unknown

Examiner: Unknown

Docket Number: SNY-T5715.02

Title: Distribution of Preferences, Provisioning and Entitlements in  
Clustered, Distributed Entertainment Networks

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Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

The undersigned submits herewith patents, publications or other information (enclosed herewith and listed on the enclosed list of references form) of which he is aware, which he believes is relevant and may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR §1.56. The order of listing of the references on the attached form and any appendix hereto is without regard for relative relevance to the present invention.

This Information Disclosure Statement is submitted:

☒ Under 37 CFR §1.97(b).

(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

☐ Under 37 CFR §1.97(c).

☐ Below is a statement under 37 CFR §1.97(e), or

☐ An IDS submission fee under 37 CFR §1.17(p).

(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first. Either a statement under 37 CFR 1.97(e) or an IDS submission fee is required.)

☐ Under 37 CFR §1.97(d).

☐ Below is a statement under 37 CFR §1.97(e), and

☐ Applicant(s) hereby petitions under 37 CFR §1.97(d)(2) for consideration of this Information Disclosure Statement, and

☐ A petition fee set forth in 37 CFR §1.17(i) is paid as indicated below.

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

The undersigned hereby certifies under 37 CFR §1.97(e) that:

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ☐ No item of information contained in the Information Disclosure Statement
  - was cited in a communication from a foreign patent office in a counterpart foreign application, and
  - to the knowledge of the undersigned, after making reasonable inquiry, was known to an individual designated in 37 CFR §1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

A concise explanation of relevance of the items listed on the attached form:

- ☒ Additional information is provided in an appendix to this communication.
- ☒ Appears in the body of the application.
- ☐ Is given for non-English language listed item(s) [Required] and appears in an appendix to this communication.
- ☐ Is in the form of an English language copy of a Search Report (copy attached) from a foreign patent office, issued in a counterpart application which refers to the relevant portions of the references.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR §1.56, this statement does not constitute an admission that any patent, publication or other information referred to therein is "prior art" or "material" to this invention or any application for patent for this invention.


In accordance with 37 CFR §1.97, the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that other information that may be material as defined in 37 CFR §1.56 exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR §1.97 and §1.98 and MPEP §609. The Examiner is requested to fully consider each of these references and acknowledge such consideration by appropriately initialing the attached form and returning a copy to the address below. In addition, the Examiner is requested to conduct a thorough independent search in order to bring the best references available forward in this application.

The fee required for this Information Disclosure Statement is calculated below:

Fee under 37 CFR §1.17(p)	(\$180)	\$
Fee under 37 CFR §1.17(i)	(\$130)	\$
TOTAL		\$ 0.00

- ☐ A check for the above fees is enclosed.
- ☐ Please the above fees to my credit card. See the enclosed credit card charge authorization form.
- ☒ The commissioner is hereby authorized to charge any additional fees which may be required for this submission, or credit any overpayment to my Deposit Account No. 50-1267.

Respectfully submitted,

  
Jerry A. Miller  
Registration No. 30,779

Dated: 3/10/04

Please Send Correspondence to:

**CUSTOMER NUMBER 24337**

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## APPENDIX

The operation of a DOCSIS compliant cable modem is detailed in "*Data-Over-Cable Interface Specifications: Radio Frequency Interface Specification*", available from Cable Labs as specification SP-RF1v1.1-I08-020301:2002. This reference is cited in the present Information Disclosure Statement because it was originally cited as a reference only for purposes of background information in provisional application serial number 60/516,711. It is being cited in this application to assure that there is no appearance of withholding possibly relevant material from the Examiner.

This document is approximately 450 pages in length and is believed to be only peripherally relevant to the present invention which utilizes a DOCSIS compliant modem in a preferred embodiment. Any other modem could similarly be used in other embodiments. Due to the reference's length and extremely limited relevance, this document is being provided on a CD in Adobe Acrobat format for the Examiner's reference. While it is understood that this does not strictly comply with the current requirements for submission of references, Applicants respectfully request the Examiner's consideration of this reference in making a determination of patentability in the present application. If the Examiner would like to receive a paper copy of the present reference, Applicants respectfully request that the Examiner contact Applicants' representative Mr. Jerry Miller at 919-816-9981 to request a copy.

LIST OF REFERENCES CITED BY APPLICANT(S)	Application No.: Unknown	Sheet 1 of 1
	Docket No.: SNY-T5715.02	Group: Unknown
	Filed: Herewith	Conf. No.: Unknown
	Applicant: Leo Pedlow, Jr. et al	

U.S. PATENT DOCUMENTS					
Exam. Init.	Document Number	Issue/Pub. Date	Name	Class	Filing Date
	5,815,195	9/29/1998	Tam		5/6/1996
	6,091,735	7/18/2000	Dodson et al.		11/30/1998
	US2002/0194615	12/19/2002	Brodigan et al.		7/19/2002
	US2003/0018759	1/23/2003	Baumann		7/17/2001
	US2003/0048380	3/13/2003	Tamura		9/12/2001
	6,636,505	10/21/2003	Wang et al.		5/28/1999

FOREIGN PATENT DOCUMENTS					
Exam. Init.	Document Number	Date	Country	Class	Translation

OTHER DOCUMENTS / CITATIONS	
Exam. Init.	Document
	Alexander, S. and R. Droms, "DHCP Options and BOOTP Vendor Extensions", RFC 2132, Internet Engineering Task Force, March 1997
	<i>Data-Over-Cable Interface Specifications: Radio Frequency Interface Specification</i> (Cable Labs SP-RF1v1.1-I08-020301:2002) * see Appendix
	R. Droms, "Dynamic Host Configuration Protocol", RFC 2131, Internet Engineering Task Force, March 1997
	D. Eastlake, 3 <sup>rd</sup> E. Brunner-Williams and B. Manning, "Domain Name System (DNS) IANA Considerations", RFC 2929, Internet Engineering Task Force, September 2000

Examiner:	Date:
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